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Linda Hodge-Taylor

United States Patent and Trademark Office

**PRIORITY**

Customer Service Center, Technology Center 2800

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Date: Friday, November 14, 2003

To: **Intellectual Properties**

Recipient Fax #: 617-526-5000

**PRIORITY**

Total # of pages-including cover sheet: 4

From: Linda Hodge-Taylor

Serial # 10/016,103

Memo: Please see the attachments regarding reconstruction.

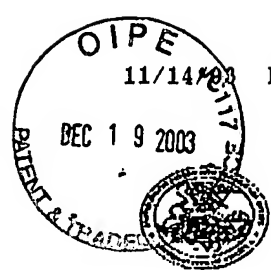
Thanks,  
Linda Hodge-Taylor  
Customer Service Representative

HALE AND DORR LLP  
NOV 14 2003

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**FAX\*FAX\*FAX\*FAX\*FAX\*FAX\*FAX\*FAX\*FAX**

HALE & DORR DOCKETING  
RE: 109960. 229 152  
Action Date: 2.14.04  
Action to be Taken: DUE DATE  
Docketed By: ZUB On: 11.17.03



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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EXAMINER
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ART UNIT	PAPER NUMBER
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DATE MAILED:

## NOTICE UNDER 37 CFR 1.251 - Pending Application

☒ The file of the above-identified application cannot be located after a reasonable search. Therefore, the Office is initiating the reconstruction of the file of the above-identified application pursuant to the provisions of 37 CFR 1.251.

Applicant is given a period of **THREE (3) MONTHS** from the mailing date of this notice within which to provide a copy of applicant's record (if any) of all of the correspondence between the Office and applicant for the above-identified application (except for U.S. patent documents), a list of such correspondence, and a statement that the copy is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and whether applicant is aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.

☐ The following paper(s) pertaining to the above-identified application cannot be located after a reasonable search:

Therefore, the Office is initiating the reconstruction of such paper(s) pursuant to the provisions of 37 CFR 1.251.

Applicant is given a period of **THREE (3) MONTHS** from the mailing date of this notice within which to provide a copy of the paper(s) listed above and a statement that the copy of such paper(s) is a complete and accurate copy of applicant's record of such paper(s).

Alternatively, applicant may reply to this notice by producing applicant's record (if any) of all of the correspondence between the Office and the applicant for the above-identified application for the Office to copy (except for U.S. patent documents), and provide a statement that the papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), whether applicant is aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records. Such records must be brought to the Customer Service Center in the Office of Initial Patent Examination (Crystal Plaza 2, 2011 South Clark Place, Arlington, VA 22202).

If applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application (or any copy of the paper(s) listed above), applicant must reply to this notice by providing a statement that applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

Failure to reply to this notice in a timely manner will result in abandonment of the above-identified application. The three-month period for reply to this notice may be extended (up to a maximum of six months) under the provisions of 37 CFR 1.136(a). However, failure to reply within this three-month period will result in a reduction of any patent term adjustment. See 37 CFR 1.704(b).

☒ A printout from PALM of the contents of the file of the above-identified application is included with this notice.

Direct the reply to this notice to:

*See X*  
*Handcarry*

Direct questions concerning this notice to:

Box Reconstruction - CP-4-6-C35  
United States Patent and Trademark Office  
Washington, DC 20231

*Ernie M. Hodge - Taylor*  
(703) 306-3329



FORM PTO-2053-B (REV. 11/2000)

Approved for use through xx/xx/xxxx. OMB 0651-0031

U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

In re Application of:

Krieg-Kowland, Marianne

Application No.:

10/016,103

Filing Date:

December 10, 2001

Title:

Method For Rendering Surface Layer of LimitedPlay Disk Lightfast

Direct to:

Box Reconstruction  
United States Patent and Trademark Office  
Washington, DC 20231**NOTICE UNDER 37 CFR 1.251 - Pending Application**

Statement (check the appropriate box):

☒ The copy submitted with this reply is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.

☐ The copy of the paper(s) listed in the notice under 37 CFR 1.251 is/are a complete and accurate copy of applicant's record of such paper(s).

☐ The papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records.

☐ Applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

12-19-03  
Date  
SignaturePeter M. Dichiaro, Reg. No. 38, 005

Typed or printed name

**A copy of this notice should be returned with the reply.**

Burden Hour Statement: This collection of information is required by 37 CFR 1.251. The information is used by the public to reply to a request for copies of correspondence between the applicant and the USPTO in order to reconstruct an application file. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 60 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

(Attorney Docket No. 109960.229US2)

In re: Application of: Krieg-Kowald, Marianne ) Examiner: To Be Assigned  
Serial No. 10/016,103 ) Group Art Unit: 2881  
Filing Date: December 10, 2001 )  
For: METHOD FOR RENDERING SURFACE LAYER OF LIMITED PLAY DISK  
LIGHTFAST

**LIST OF APPLICANT RECORD**

1. Notice of Recordation dated June 10, 2003, stamped PTO Form 1595 and Assignment document;
2. Return Receipt Postcard, stamped May 6, 2003 by the U.S. Patent Office for submission of Revocation and Creation of Power of Attorney dated May 2, 2003;
3. Transmittal Letter and Revocation and Creation of Power of Attorney as filed on May 2, 2003;
4. Return Receipt Postcard, PTO Form 1595 dated January 22, 2003 and Assignment;
5. Notice of Publication of Application dated August 1, 2002;
6. Return Receipt Postcard, stamped June 27, 2002 by the U.S. Patent Office for submission of an Information Disclosure Statement;
7. Information Disclosure Statement, Form PTO-1449, International Search Report for PCT Application PCT/US01/48029 and copies of non-U.S. patent references, as filed on June 19, 2002;
8. Notice of Recordation dated June 8, 2002, stamped PTO Form 1595 and Assignment document;
9. Updated Filing Receipt dated April 25, 2002;
10. Return Receipt Postcard, stamped April 10, 2002 by the U.S. Patent Office for submission of a Response to Notice to File Missing Parts of Application;
11. Response to Notice to File Missing Parts of Application, copy of Notice to File Missing Parts of Application, and Combined Declaration and Power of Attorney for Inventor Krieg-Kowland as filed on March 28, 2002;
12. PTO Form 1595 and Assignment for Inventor Krieg-Kowland as filed on March 28, 2002;
13. Transmittal of Formal Drawings and two sheets of formal drawings as filed on March 28, 2002;

14. Notice to File Missing Parts of Nonprovisional Application, dated March 21, 2002;
15. Official Filing Receipt, dated March 21, 2002;
16. Return Receipt Postcard, stamped December 10, 2001 by the U.S. Patent Office for submission of a new utility application;
17. Express Mail Receipt stamped December 10, 2001 by the U.S. Post Office for submission of a new utility application;
18. Transmittal Letter to U.S. Patent Office, cover page of new utility application, 14 pages of the specification of the application and two sheets of drawings as filed on December 10, 2001.



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/016,103	12/10/2001	Marianne Krieg-Kowald	903.0011.U1(US)

**CONFIRMATION NO. 7948**

29683  
HARRINGTON & SMITH, LLP  
4 RESEARCH DRIVE  
SHELTON, CT 06484-6212



**Title:** Method for rendering surface layer of limited play disk lightfast

**Publication No.** US-2002-0102499-A1

**Publication Date:** 08/01/2002

Date Mailed: 08/01/2002

## NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publicly available Searchable Databases via the Internet at [www.uspto.gov](http://www.uspto.gov). The direct link to access the publication is currently <http://www.uspto.gov/patft/>.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Crystal Gateway 4, Room 335, Washington, D.C. 20231, or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at [www.uspto.gov](http://www.uspto.gov) using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently <http://pair.uspto.gov/>. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at (703) 305-3028.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

